

## TABLE OF CONTENTS

| I.          | INTRODUCTION                                                        | 3        |
|-------------|---------------------------------------------------------------------|----------|
|             | University and School Rules and Regulations                         | 3        |
|             | Program Goals and Expectations                                      | 3        |
| II.         | PROGRAM ADVISING                                                    | 5        |
|             | Overview                                                            | 5        |
|             | Graduate Group Faculty Interests                                    | 5        |
| III.        | THE PROGRAM                                                         | 6        |
|             | Overview                                                            | 6        |
|             | Coursework                                                          | 6        |
|             | Timing                                                              | 6        |
|             | Course Units                                                        | 6        |
|             | Doctoral Seminar                                                    | 6        |
|             | Research Methods                                                    | 7        |
|             | General Considerations                                              | 7        |
|             | Transfer Credit                                                     | 7        |
|             | Preparatory Requirements                                            | 8        |
|             | Overview                                                            | 8        |
|             | Writing and Presentation                                            | 8        |
|             | Scholarly Preparation                                               | 8        |
|             | Qualifying/Candidacy Examinations                                   | 9        |
|             | Overview                                                            | 9        |
|             | The Examination Committee                                           | 10       |
|             | The Dissertation Committee                                          | 10       |
|             | Form of Candidacy (Field) Examination Form of Dissertation Proposal | 10       |
|             | Grading, Outcomes and Notification                                  | 10<br>10 |
|             | Dissertation                                                        | 11       |
|             | Dissertation Defense                                                | 11       |
|             | Deposit of Dissertation                                             | 11       |
| IV.         | •                                                                   | 12       |
|             |                                                                     |          |
| V.          | TUITION AND FEES                                                    | 12       |
| VI.         |                                                                     | 13       |
|             | Continuous Registration                                             | 12       |
|             | Policy on childbirth and adoption accommodation                     | 13       |
| <b>T7TT</b> | Family Leave of Absence policy                                      | 13       |
| VII         |                                                                     | 14       |
| VII         | II. RECERTIFICATION                                                 | 14       |
| IX.         | HELPFUL WEBSITES                                                    | 14       |
|             | APPENDICES                                                          |          |
| A D         |                                                                     | 16       |
|             | Penn PhD recipients 1957 to present<br>Graduate Group Directory     | 38       |
|             | Bibliography                                                        | 39       |
|             | Current PhD Students                                                | 48       |
|             | PhD Checklist                                                       | 46       |
|             | tandard outline for Dissertation Proposals                          | 46       |
|             | Model Progress Report                                               | 46       |
|             | Penn- in-Touch                                                      | 49       |
| I. Fo       |                                                                     | 51       |

## I. INTRODUCTION

Welcome to the PhD program in City and Regional Planning at the University of Pennsylvania. Penn has offered a doctorate since the early 1950s. Its first recipient, Herbert Gans '57, now professor emeritus, Columbia University, had a distinguished scholarly career from the publication of his first book, *The Urban Villagers Group and Class in the Life of Italian-Americans* (The Free Press, 1962) to the present, having authored *Imagining America in 2033: How the Country Put Itself Together after Bush* (University of Michigan Press, 2008) more than fifty years after his graduation.

More recent graduates are working all over the world. They include Nisha Botschwey, '03, Associate Professor of City and Regional Planning, Georgia Institute of Technology,; Khaled Tarabieh, '09, Assistant Professor of Sustainable Design, American University of Cairo; Stephanie Ryberg, '10, Associate Professor of Urban Studies, Cleveland State University; Sisi Liang, '11, Assistant Professor of Planning, Tsinghua University, Evangeline Linkous'12 Assistant Professor of Urban and Regional Planning, University of South Florida, Stuart Andreason, '14 Senior Community and Economic Development Advisor, Federal Reserve Bank of Atlanta, Catherine Brinkley'13 (DVM, '15), Assistant Professor of Community and Economic Development, University of California, Davis, Amber Woodburn, '16, Assistant Professor of City and Regional Planning, Ohio State University. As you may imagine, Penn's more than 300 graduates have made important contributions to the field, working in academia and other research institutions. (See Appendix A.) In a few years, your name will be on this list and we expect that you will be among the leaders of the profession.

This *Handbook* will assist you in making your years at Penn productive. The first eight sections map the requirements of the doctoral program in City and Regional Planning. The remaining sections provide other reference material including a list of the members of the Graduate Group Faculty (Appendix B), a bibliography of representative faculty publications (Appendix C), a student directory (Appendix D), several important documents (Appendices F and G) and copies of required forms (Appendices E, H and I).Governing all your work, however, is the set of university rules established by the Graduate Council of Faculties, *Graduate Rules and Policies* <a href="https://provost.upenn.edu/policies/pennbook/2013/02/13/academic-rules-for-phds-and-research-master-s-degrees">https://provost.upenn.edu/policies/pennbook/2013/02/13/academic-rules-for-phds-and-research-master-s-degrees</a>. In addition, the School of Design Student Handbook covers school-specific issues <a href="https://www.design.upenn.edu/sites/default/files/uploads/2016-2017">https://www.design.upenn.edu/sites/default/files/uploads/2016-2017</a> PennDesign Student Handbook\_updated 8-8-16.pdf.

## **Program Goals and Expectations**

Penn's PhD program in City and Regional Planning has a straightforward objective: to train exceptional thought-leaders in the field for productive careers in academia or policy development fields. To this end, the Graduate Group aims to ensure your acquisition of strong research and communication skills. It is also committed to cultivating enduring faculty/student mentoring relationships and collegial networking among your peers, including other PhD students in urban-focused disciplines. An integral part of this process is the doctoral advising system, whose description is in Part II. As a doctoral student, you control the pace and timing of your work. However, the Graduate Group is here to help you. Maintain regular contact with the Graduate Group Chair (GGC), Eugénie Birch (with whom you should meet four times a year at the beginning and end of each semester), and with your advisors, once selected, at all stages of your

program. Remember to keep your contact information up-to-date in Penn-in-Touch and with the Graduate Group Secretary, Roslynne Carter.

Earning your doctorate, requires completion of four steps: 1. Coursework, 2. Preparatory Requirements, 3. Qualifying/Candidacy Examination and 4. Dissertation. Part III. details these elements Table 1 below summarizes the program.

Table 1 Overview of PhD Requirements and Schedule (See Appendix E for PhD check list)

| Task                                | Requirement                                                                                                                                                              |  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Matriculation                       | Full-time until completion of degree. Total time allowable 10 years (five years                                                                                          |  |
|                                     | to advance to candidacy, five years to complete and defend dissertation)                                                                                                 |  |
| Coursework                          | 20 course units, to be completed in first 5 semesters, taken at the rate of 4                                                                                            |  |
| Doctoral Seminar                    | course units/semester, and including:  4 course units taken in first 4 semesters                                                                                         |  |
| Research Methods                    | 2 course units                                                                                                                                                           |  |
|                                     |                                                                                                                                                                          |  |
| Preparatory                         | (see below) to be completed in the first 5 semesters and including:                                                                                                      |  |
| Requirements                        |                                                                                                                                                                          |  |
| Writing and Presentation            | 4 written assignments completed in the first 4 semesters                                                                                                                 |  |
| Review essay                        | First semester Doctoral Seminar                                                                                                                                          |  |
| First year paper                    | Second semester Doctoral Seminar                                                                                                                                         |  |
| Second year paper                   | Third semester Doctoral Seminar                                                                                                                                          |  |
| Draft dissertation proposal         | Fourth semester Doctoral Seminar                                                                                                                                         |  |
| Scholarly Preparation               | 4 semesters plus orientation and jury service                                                                                                                            |  |
| Research Assistantship              | Two semesters                                                                                                                                                            |  |
| Teaching Assistantship              | Two semesters                                                                                                                                                            |  |
| TA orientation                      | Attend session summer after the first year of full-time study                                                                                                            |  |
| Masters Juries                      | Serve on 4 masters candidates studio or workshop juries                                                                                                                  |  |
| Qualifying                          | Written examination on History and Theory of Planning to be taken by the third                                                                                           |  |
| Examination                         | semester of full-time study                                                                                                                                              |  |
| Candidacy                           | Three part written and oral examination to be completed by 5 <sup>th</sup> semester                                                                                      |  |
| Examination                         |                                                                                                                                                                          |  |
| Two Field Examinations              | Written examinations to be completed by the beginning of the 5 <sup>th</sup> semester of full-time study, taken in a 21 day period                                       |  |
| Oral Examination                    | Oral defense of the history and theory and two field examinations and discussion of draft dissertation proposal within 3 weeks of completing the last field examination, |  |
| Acceptance of Dissertation Proposal | To be completed by the first week of October, fifth semester of full-time study.                                                                                         |  |
| Apply for A.M. degree               | All students who advance to candidacy are eligible to earn an A.M. Apply to http://fusion.sas.upenn.edu/degree/app-start.php                                             |  |
| <b>Annual Meetings of</b>           | All candidates must meet annually with dissertation committee until thesis is                                                                                            |  |
| Dissertation                        | completed. All candidates must submit first full draft of dissertation two months in advance of deposit date (advisors will comment within three weeks); submit          |  |
|                                     | second draft of dissertation, one month in advance of deposit date (advisor will                                                                                         |  |
| Committee                           | comment within two weeks); submit third draft (if required) one week in                                                                                                  |  |
|                                     | advance of defense.                                                                                                                                                      |  |
| <b>Dissertation Defense</b>         | Candidate defends thesis in front of dissertation committee                                                                                                              |  |
| PhD Colloquium                      | Public presentation of thesis findings                                                                                                                                   |  |
| Dissertation Deposit                | Pursuant to university rules                                                                                                                                             |  |
| Dissel tation Deposit               | <u> </u>                                                                                                                                                                 |  |

## II. PROGRAM ADVISING

#### **Overview**

During your doctoral studies, the GGC will serve as your overall advisor, assisting you in outlining a course of study, evaluating prior coursework for transfer credit and approving key performance milestones. In your first year, you should initiate meetings with Graduate Group faculty whose scholarly interests coincide with yours, aiming to formulate your examination areas and dissertation topic. (See below.) You should begin these conversations early and, even though you may not have a fully-developed dissertation proposal in mind, the process of discussing your ideas will aid in defining your research focus.

By the end of your first year and with the approval of the GGC, you should ask one of the members of the Graduate Group to serve as your principal advisor and work with him/her to form your Examination and Dissertation Committees that should be in place by the beginning of your second year (third semester). When you have reached an agreement with a faculty member to serve as your principal advisor, please ask him or her to indicate his or her willingness to accept this responsibility in a letter to the GGC.

The Graduate Group as a whole monitors all doctoral students' progress. It meets regularly to review each student's record. For those in coursework, it reviews their progress reports (see Part IV.), qualifying/candidacy examinations status and rates of meeting the preparatory requirements. For candidates (those who have passed the qualifying/candidacy examinations), it reviews their progress reports that include their dissertation schedules and performance. Any student not making satisfactory progress may be asked to withdraw from the program or be advised that such an action is under consideration. The GGC will notify a student in writing of any problems identified in the evaluation. Should you receive such a letter, you must contact the GGC to discuss how to remedy the situation.

## **Graduate Group Faculty Interests**

PhD students develop their programs of study with faculty advisors based on common interests and approaches. PennDesign faculty who work closely with PhD students include: Francesca Ammon (Planning History); Eugénie Birch (Urban Revitalization and Global Urbanization); Tom Daniels (Land Use and Environmental Planning, Land Conservation); Stefan Al (Urban Design); Erick Guerra (Transportation); Amy Hillier (Geographic Information Systems and Urban Spatial Analysis); John Landis (Urban Growth Modeling, Housing and Project Development); Randy Mason (Historic Preservation and Preservation Planning); Lisa Servon (Community and Economic Development); Vincent Reina (Housing); Megan Ryerson (Transportation and Planning Methods); Dana Tomlin (Geographic Information Systems); Domenic Vitiello (Community Development, Immigration, Food Security); and Robert Yaro (Regional Planning and Transportation).

Other Graduate Group faculty include: Ram Cnaam (Social Practice and Policy); Janice Madden, Fels School of Government (Regional Science); Tony Smith, School of Engineering and Applied Science (Spatial Analytics); Mark Stern, School of Arts and Sciences (American History, Arts Economic Development); and Susan Wachter, Wharton School of Business (Housing Finance, Real Estate). In addition, PhD students may elect to work with other UPENN faculty chosen in consultation with their advisors. (See Appendix B for the Graduate Group Directory and Appendix C. for sample Faculty Publications.)

## III. THE PROGRAM

#### **Overview**

The PhD program in City and Regional Planning requires successful completion of four steps: *Coursework, Qualifying/Candidacy Examinations and Dissertation* and *Preparatory Requirements*. Table 2 below outlines an ideal schedule. (It assumes transfer of four course units.)

Table 2. Your PhD Semester by Semester

|          | Fall                                                                                                                                                       | Spring                                                                                                                                                                                                                  | Summer                                                                                                                                                                     |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Year 1   | Doctoral Seminar I (Review<br>Essay) three classes,<br>Research/Teaching Assistant                                                                         | Doctoral Seminar II (First Year<br>Paper), three classes, Research<br>Assistant, Jury Service, submit<br>application for transfer credit,<br>select principal advisor and<br>Examination and Dissertation<br>committees | Refine First Year Paper for<br>conference presentation and<br>or publication, TA summer<br>training, begin Second Year<br>Paper                                            |
| Year 2   | Doctoral Seminar III (Second<br>Year Paper), three classes<br>Teaching Assistant, conference<br>presentation, take qualifying<br>examination, jury service | Doctoral Seminar IV (Draft<br>Dissertation Proposal), three<br>classes, field examinations<br>preparation, draft dissertation<br>proposal defense, Research<br>Assistant, Jury Service                                  | Complete field examinations<br>and dissertation proposal,<br>attend ACSP PhD Workshop<br>or JPER writing workshop<br>(competitive entry), prepare<br>paper for publication |
| Year 3-4 | Complete candidacy<br>requirements, begin dissertation<br>research and writing, conference<br>presentation/publications                                    | Finish dissertation, meeting deadlines for draft submission, defend dissertation, public colloquium, Penn IUR doctoral symposium                                                                                        |                                                                                                                                                                            |

#### Coursework

**Timing:** Ideally, you should complete coursework within the first two years (four semesters) depending on transfer credit; qualifying/candidacy examinations within the first two to two and half years (fourth to fifth semesters); preparatory requirements within two and a half years (by the fifth semester) and dissertation within three to four years (fifth to eighth semesters) of your initial enrollment. Although *University regulations require you to advance to candidacy within five years of matriculation and to complete all requirements for the degree within ten years, the <i>Graduate Group in City and Regional Planning encourages a faster pace*. The descriptions below detail each step and Graduate Group performance expectations.

Course units: You complete 20 course units during two and half years of full-time study at the rate of four course units per semester, or a shorter time depending on transfer credit (see "Transfer Credit" below). The Graduate Group in City and Regional Planning does not designate a formal list of required courses other than the Doctoral Seminar, research methods and field courses (see below), but allows you to work with the GGC and your principal advisor to develop a study plan consistent with your research and future teaching interests.

**Doctoral Seminar:** You enroll in four (4) course units of CPLN 800 Doctoral Seminar in four consecutive semesters beginning with your initial enrollment in the program. This seminar explores how scholars define and answer important research questions and assists you in developing your research agendas, including selecting your dissertation topic and crafting your draft thesis proposal. Through its guest lecturer series, the seminar reviews cutting-edge research in City and Regional Planning. It also affords you the opportunity to discuss your research with your peers and Graduate Group Faculty. Finally, it addresses a number of issues not covered by other methods and substantive courses including a critical analysis of the varied forms of scholarly writing.

**Research Methods**: You also take and pass with a grade of B or better two (2) graduate-level methods courses relevant to your proposed field of research identified and submitted to the GGC on **Form 1b.** The Graduate Group maintains a list of courses in quantitative and qualitative methods and spatial analytics offered in various schools of the University. The GGC must approve your selection. (Although you may fulfill this requirement by waiver examination or transfer of credit for prior coursework, you will need to present evidence of strong methodology training to secure GGC for approval.)

**Field Courses** You must take two courses in each of two areas for which you will take field examinations. You must take one course from an instructor who will sit on your examining committee and supervise your field examination.

General Considerations The Graduate Group requires that instructors in courses that satisfy your coursework requirements hold a PhD degree or be members of the Standing or Associated Faculty. The GGC will handle requests for exceptions to this rule on a case-by-case basis. If you enroll in masters-level courses in any school, you must complete assignments of greater scope and depth than the lower-level students. If your instructor is unaware of this requirement, please inform him or her and make appropriate arrangements.

You must maintain an overall GPA equal to or greater than 3.00 in all coursework. If you earn a grade lower than B- in a course, you cannot apply it to the 20 course-unit requirement. If at any point during your coursework, your GPA falls below 3.00, you will be ineligible to continue as a doctoral student and dropped from the Program. If you incur two or more grades of Incomplete (I) you will be permitted to register for four (4) courses minus the number of incomplete courses in subsequent semesters until you extinguish the incomplete grade(s).

**Transfer Credit:** If you have completed graduate work prior to entering the City and Regional Planning doctoral program, you may request transfer credit for up to four (4) course units for classes taken at another university or at Penn. You may request transfer credit after your first year of full-time study. Requests are granted at the discretion of the Graduate Group Chair. Courses must be relevant to your course of study. While credits from professional master's degree courses (e.g., MSW, MCP, MRP, MBA, MARCH) may be transferred for credit towards the PhD, studio course credit is not transferable. Only courses having a grade of B or above will qualify for transfer credit.

Use **Form 1a** to identify the courses and provide background information on the instructors (e.g. PhD., Standing Faculty). Attach course syllabi and your transcript and submit the paperwork to the GGC for approval. (Before submitting the formal request, discuss the prospects for such

transfers with the GGC to determine if the courses are consistent with your course of study.) *You are responsible for filing the signed forms with the Registrar and the Graduate Group Secretary*. Transfer credit is official when it appears on your Penn transcript, viewed through Penn in Touch. Should you encounter any problems related to processing transfer credit, contact the Registrar, School of Design.

## Preparatory Requirements

**Overview:** The Preparatory Requirements (Writing and Presentation and Scholarly Preparation), provide experience in scholarly writing, research and instruction and assist you in the timely completion of your degree. You should complete the requirements within two and a half years (five semesters) of full-time study. The GGC will work with you to accommodate them in your program.

**Writing and Presentation:** Possessing clear writing and associated analytical skills are essential for your career as a graduate student and later, scholar and researcher. The Writing and Presentation requirement is a systematic approach to strengthening your abilities in these areas. It has four elements:

- a. *Review Essay* assessing the state of scholarship related to your primary research interest to be written and presented in your first semester to the Doctoral Seminar (**Form 2**).
- b. *First Year Paper* scoping a problem in your area of the primary interest through a literature review to be presented to the Doctoral Seminar during the second semester of full-time study (**Form 2a**). You begin it under the guidance of the Doctoral Seminar instructor and also work with other Graduate Group faculty. The paper is a literature review that allows you to identify gaps in knowledge, to begin to formulate a researchable questions around a particular issue and devise a research design to explore that question.
- c. Second Year Paper containing original research of publishable quality in your area of interest to be presented at Doctoral Seminar in your third semester of full-time study (Form 2b). Ideally, this paper will evolve from the Review Essay and First Year Paper. As you undertake this paper, you should be working with the Doctoral Seminar instructor and your principal advisor because this paper will be the foundation of your dissertation. Given the significance of the requirement, you should begin working on it in the summer between your first and second years. You are also expected to submit your Second-Year Paper (or some equivalent research) for presentation at an academic conference by the Fall of your third year. If your paper is accepted for presentation, the Graduate Group will cover your travel expenses to the conference.
- d. *Draft Dissertation Proposal* You will present a draft dissertation proposal to the Doctoral Seminar in your fourth semester (Form 2 c). By this time, you will be working with your principal advisor who is serving as the chair of your Examination and Dissertation committees. (See below.)

**Scholarly Preparation:** The faculty cultivates enduring mentoring relationships with you through your serving four semesters as a Research Assistant (RA) or Teaching Assistant (TA). The RA and TA positions require, on average, ten hours a week of work. Ordinarily, you will

begin your assistantship in your first year (first semester) of full-time study and complete it in your third year (fifth semester). The GGC and you will determine the assignments. Submit the appropriate forms as you complete your RA (Form 3a) and TA (Form 3b) assignments. After your first year you are required to attend the three-day Teaching Assistant Workshop sponsored by the Center for Teaching and Learning, School of Arts and Sciences (Form 3c). Finally, you will serve on masters' students juries at least four times in your four semesters of full-time study (Form 3d).

CTL Teaching Certificate You may opt to earn a CTL Teaching Certificate from Penn's Center for Teaching and Learning. While you will be very busy in pursuing your research, teaching is a vital component of an academic career. Pursuing this certificate not only offers you a means to reflect on what constitute excellent teaching and to enhance your own pedagogical skills but also to add to your own qualifications when you begin your job search. Requirements are: completion of five CLT-approved workshops, completion of two semesters as a teaching assistant, an observation and review of a full teaching session, development of a statement of teaching philosophy. For more information see: <a href="http://www.ctl.sas.upenn.edu/grad/certificate.html">http://www.ctl.sas.upenn.edu/grad/certificate.html</a>

You may also apply for the Graduate Urban Studies Certificate. The Graduate Urban Studies Program offers a Certificate in Urban Studies to be taken in conjunction with a PhD offered by the University through one of the disciplines or professional schools. It is aimed at students who want to augment their disciplinary studies to address urban topics or problems from an interdisciplinary perspective. The Program draws on faculty and encourages course-taking from the various departments of the School of Arts and Sciences and other schools of the University. Our students' interests have focused on many areas of the world and include: housing, employment, economic development, finance, social welfare, poverty, education, community organization, history, ethnicity, culture, family, architecture, urban design, planning, law, and policy. For more information, see: <a href="http://www.sas.upenn.edu/urban/students/graduate-certificate">http://www.sas.upenn.edu/urban/students/graduate-certificate</a>

## Qualifying/Candidacy Examinations

**Overview:** By the end of your third semester you will begin the first part of the Qualifying/Candidacy Examinations and, hopefully, complete the last part, the oral examination, at the beginning of your fifth semester of full-time study. Fulfillment of the Qualifying/Candidacy Examinations indicates your mastery of three areas of expertise in City and Regional Planning and demonstrates your readiness to undertake your dissertation. (**Forms 4-8** apply to this area.) It has five parts:

- a. Written History and Theory of City Planning examination taken by the third semester of full-time study; this constitutes the qualifying examination that at the end of the second year of full-time study is considered along with your coursework performance in determining your continuation in the program (Form 4);
- b. Written field examinations in each of two areas, taken within a three-week (21 day) window and completed by the beginning of your fifth semester of full-time study (**Form 4**). (In defining fields, you work with your advisors and also take a least two courses in a given field.) The examinations will cover:
  - 1. A definable field in City and Regional Planning or related discipline and
  - 2. An area of City and Regional Planning directly related, but not limited, to your proposed dissertation;

- c. A draft dissertation proposal presented to the Examination Committee/Dissertation Committee three weeks prior to sitting for the written field examinations (b. above);
- d. An oral examination covering the written examinations and a discussion of the dissertation proposal to be scheduled within three weeks of completing the written field examinations with your Examination Committee; your Dissertation Committee will also attend to discuss your proposal (**Form 5**);
- e. An oral defense of the dissertation proposal before your Dissertation Committee to be completed within at the beginning of the fifth semester of full-time study, if not sooner (**Form 6**).

To begin the process, meet with the GGC by the end of your second semester of full-time study to schedule your Qualifying/Candidacy Examinations. In consultation with the GGC and your principal advisor, you should select the areas for your two field examinations, keeping two goals in mind: 1. developing competence in an instructional area; 2. supporting your dissertation research.

Next, form your Examination and Dissertation Committees. The GGC and your principal advisor will help you identify appropriate faculty to serve on both. You should initiate discussions with your committee members to delineate the subject matter of your field examinations and your thesis. Finally, secure GGC written approval of the composition of both these committees before sitting for your qualifying examinations. Form your committees according to the rules outlined below.

The Examination Committee has three faculty members, two of whom must be members of the Graduate Group in City and Regional Planning, and the third, if not a member of the Graduate Group, must be a member of the University Faculty. You should have taken at least one formal course or independent study with each of the Examination Committee members. One of your Examination Committee members should administer the qualifying examination in history and theory. One member, usually the chair of your Dissertation Committee, should chair this committee.

**The Dissertation Committee** has three faculty members, one of whom is the chair or dissertation supervisor, the other two are readers. The chair of this committee must be a member of the Graduate Group. He or she also chairs the Examination Committee. The Dissertation Committee must contain at least two members of the Examination Committee. At least two members of the Dissertation Committee must be members of the Graduate Group. The third member of the Dissertation Committee will usually be a member of the University Faculty, though with the approval of the Dissertation Supervisor and the GGC, an outside person with special knowledge and with the appropriate academic qualifications can be appointed

Form of the Candidacy (Field) Examination: The written examinations take one of several forms. For example, your examiner may ask you to prepare a professional-paper length essay, or a research proposal over a period of a week, or a lecture-length essay over several days. He or she may ask you to take a several-hour written "classroom" type of examination, consisting of a set of questions. Regardless of its form, your examiners will ask you to demonstrate an ability to 1) describe and assess the literature of the field, 2) deal critically with major issues, and 3) conceptualize and organize research.

**Form of Dissertation Proposal**: Your dissertation proposal should follow the format described in Appendix F. It has the following components; title, abstract, introduction, a literature review that positions your research question, statement of the problem or research question, your hypotheses (if applicable), your research design, proposed table of contents (with short descriptions of anticipated contents of the chapters) and bibliography and an anticipated timeline for delivery of the first, second and third drafts.

**Grading Outcomes and Notification:** Your Examination Committee will grade your examinations (written and oral) separately as A+, A, A-, B+, B. Any grade below B is a failure. In the event that you fail the *Qualifying Examination*, you will not be allowed to proceed with your doctoral program. In the event that you fail one of the field examinations, you may retake that exam **once** again, within two (2) months. If you should fail two field examinations, you will be dismissed from the program.

At the oral defense of your dissertation proposal, your Dissertation Committee will accept, ask for revisions or reject it. *In the latter case, you have one* (1) *month from the time of the oral examination to make modifications to the proposal and obtain its acceptance by the Committee.* If you do not secure approval of your proposal within this time period, you will be awarded the A.M. degree as a terminal degree.

When you have completed all parts of the Qualifying/Candidacy Examinations, you have earned the A.M degree and are ready to write your dissertation. (*If you wish to receive the A.M. degree, you must apply for the degree with the Graduate Office at* <a href="http://fusion.sas.upenn.edu/degree/app-start.php">http://fusion.sas.upenn.edu/degree/app-start.php</a>. Should you pass all parts and decide not to write a dissertation you may elect to receive the A.M. as a terminal degree (Form 7).

When you have passed the Qualifying/Candidacy Examinations, you will become a PhD candidate and qualify for the reduced tuition rate (**Form 8**). The Graduate Group urges you to complete your dissertation within the next two years years so that you can advance to the next stage in your career. (University rules mandate its completion within ten years of your initial matriculation.)

At the conclusion of Qualifying Candidacy Examinations, the GGC will notify you in writing about the outcome. (Your Examination Committee and Dissertation Committee will give you their decision verbally at the time of the oral examination and the GGC will follow-up by letter.) You are responsible for filing the following forms indicating your success with the Graduate Group Secretary and the PennDesign Registrar. After the oral Qualifying/Field Examination, submit **Form 5**, after your oral dissertation proposal defense, submit **Form 6** and **Form 8** (University of Pennsylvania. Form 150).

#### Dissertation

Your dissertation is an original piece of research of publishable quality that focuses on an important, currently unanswered question. Your Dissertation Committee supervises your dissertation from its proposal to its completion. You should meet with your Dissertation chair at least two (2) times per year and with your whole Committee annually (by June 1 of the year in question). Prior to the committee meeting, you should submit your Annual Dissertation Report (**Form 9**) to the Committee and afterwards, obtain your Committee members' signatures and submit the form to the GGC. If a problem appears with the pace of your progress, the GGC will arrange a conference to discuss the matter with you and your Dissertation supervisor. *Failure to* 

submit these reports will result in your being dropped from the Program. The Graduate Group expects that you will write up to three drafts of the dissertation. You will submit the first draft two months in advance of deposit date set by the University; the second draft, one month in advance of the deposit date and the third (and final draft) one week in advance of your defense.

**Dissertation Defense**: You will defend your dissertation to your Committee and, with their approval, offer a public Colloquium afterwards (**Form 11**). Your committee may ask you to make minor revisions to the dissertation before you deposit it with the University. Upon completing your two-part defense, submit to the Graduate Secretary the signed Final Dissertation Report (**Form 10 / U. of P. Form 152**) and (**Form 12 / U. of P. Form 153**) that indicate the acceptance and certification of the dissertation.

**Deposit of Dissertation:** The University has strict regulations concerning dissertations, deadlines for deposit with the university related to graduation dates. (When you submit your dissertation in fulfillment of the requirements for a degree, it becomes the property of the University of Pennsylvania, governed by the University's copyright and patent policies.) Consult the Dissertation Manual found at <a href="https://www.upenn.edu/grad/DissManual.html">www.upenn.edu/grad/DissManual.html</a> to familiarize yourself with the required format, number of deposit copies and various deadlines that you must meet in order to graduate at a particular time. The schedule is contained in the Degree Calendar at the Graduate Division of Arts and Sciences, College Hall, and is online at <a href="https://www.sas.upenn.edu/GAS/home/grad&beyond/degree\_index.html">www.sas.upenn.edu/GAS/home/grad&beyond/degree\_index.html</a> You should be aware that the University rigorously enforces its regulations and schedules and makes no exceptions. *It is your responsibility to know these schedules and adhere to them.* Further information is to be found in the University and School of Design regulations, the websites on page 3.

# IV. ANNUAL PROGRESS REPORTS AND QUALIFICATION EVALUATION

In your first two years of full-time study, you must submit to the GGC an annual report of your progress, listing your completed courses, fulfillment of the requirements and qualification/candidacy examinations and discussing the evolution of your primary research interest. Ordinarily, you should submit this report prior to your end-of-spring-semester meeting with the GGC, no later than May first of the applicable academic year.

Following the receipt of your second year annual report, the GGC will issue approval of your continuance in the program basing the judgment on your annual reports, the results of your qualifying examination, your transcript and the solicited written opinion of your principal advisor. To be clear: to satisfy the qualifications evaluation, you will have met the agreed upon progress in Coursework, Preparatory Requirements and Qualifying Examination, will have selected your principal advisor and scheduled your field examinations.

## V. TUITION AND FEES

You will be responsible for the full tuition plus the general fee regardless of the number of courses registered until you reach candidacy status. Once you have advanced to candidacy you will qualify for the reduced tuition rate plus general fee. In academic year 2016-2017, the tuition schedule is:

Full tuition \$32,286 /year

General Fees \$2,788

Reduced tuition \$4,034 General Fees 698

## VI. RESIDENCY AND LEAVES

Continuous registration: You must be continuously registered unless granted a formal leave of absence by the Dean of the School of Design. A leave of absence will be granted for military duty, medical reasons, and family leave. This leave is typically for up to one year and "stops the clock" on time to completion. Personal leave for other reasons may be granted for up to one year with the approval of the University of Pennsylvania Vice Provost for Education, but it does not automatically change the time limit. Additional requirements for return may be imposed by the Vice Provost for Education. You may not take any degree examinations while you are on leave of absence. A student without an approved leave of absence who fails to register each semester will be considered to have withdrawn from candidacy for the degree; approval by the Vice Provost for Education and recertification are required for reinstatement. Dissertation registration takes place in the fall and spring semesters. Dissertation students who are candidates for August degree remain full-time students through August 31st without summer registration.

Policy on childbirth and adoption accommodation for PhD. students: You are eligible for an eight week leave for the birth or adoption of a child. You must notify the GGC and your dissertation supervisor in writing, at an early date, of your plans to initiate this period, so that appropriate arrangements can be made to cover any teaching/research responsibilities. Normally this period commences within two weeks of the birth or adoption. During the period, you remain enrolled full-time. In order to facilitate a rapid return, you may participate in the program as fully as you deem appropriate. By remaining on full-time status, your visa status and loan repayment schedules, if any, will remain unchanged. You are entitled to academic accommodation including relief from academic requirements, such as postponement of exams and course requirements. If you are receiving stipend support, you are entitled to continuation of support during the period as follows: 1.) If you are receiving stipends from University/school funds, you are entitled to draw support for eight weeks during the academic year. 2.) If you are funded by government grants or other external funding sources, you are entitled to benefits as determined by the funding agency.

Family Leave of Absence policy: You may take an unpaid Family Leave of Absence for the birth or adoption of a child, child care, or care of an immediate family member (spouse, domestic partner, child, or parent) with a serious health condition. You may take a Family Leave of Absence for one or two semesters. You must notify the graduate group chair and adviser in writing of your plans to take a Family Leave at an early date, so that appropriate arrangements can be made to cover any teaching/research responsibilities. Family Leave "stops the clock" on your academic requirements, including scholarly preparations requirements, for the duration of the leave. During the period of Family Leave, you may arrange to continue Student Health Insurance, but you will be responsible for the payment of your premiums. Upon paying a fee, students on approved Family Leave will retain their PennCard, e-mail accounts, library privileges, and building access. Funding commitments from the institution are deferred until you return from

Family Leave. If you are receiving funding from external sources, such as government grants, you are subject to the conditions established by the funding source. You will meet your service requirements (e.g., teaching, research) following return from Family Leave. Requests for extension of Family Leave beyond one year, or for repeated Family Leaves, may be made. Approval of an extension, deferral of funding and continued academic accommodation is at the discretion of the Graduate Dean.

\*IMPORTANT: If you anticipate adding a dependent (e.g., newborn) to your Penn Student Insurance Policy while on Family Leave, you must remain in ACTIVE student status at the start of the fall semester. Students should arrange with their school/division to maintain full-time student status for at least 31 days from the start of fall classes, after which time the Family Leave status can be recorded in the Student Records System. After the birth/adoption, contact the SHS Insurance Coordinator to ascertain fees and enroll the dependent. The premium for dependent coverage is payable directly to Aetna Student Health.

## VII. Exchange Scholar Program

The University of Pennsylvania is a member of a ten-university consortium that allows doctoral students to enroll for up to one year at one of the participating institutions: Brown, Columbia, Cornell, Harvard, MIT, Princeton, Stanford, University of California-Berkeley, University of Chicago, or Yale. See the GGC for additional information.

## VII. RECERTIFICATION

If you have not completed your doctoral work within ten years of your initial matriculation, you may apply to the GGC to recertify your continuing in the program. To receive permission to do so, you must retake and pass the Candidacy Examination and complete and defend your dissertation within one year.

## VIII. HELPFUL WEBSITES

If you are relocating for your doctoral program, various Penn offices can be of assistance. And once you are here, Penn's Graduate Student Center will serve as your community center. Penn Health Services will become your health provider when you sign up for the Penn Health Plan. Finally, you should be interested in the general background about West Philadelphia and the work that Penn did in community development. Below are some helpful websites:

For general resource guides for graduate students: <a href="http://www.gsc.upenn.edu/resources/guide/">http://www.gsc.upenn.edu/resources/guide/</a> You will be given a hard copy of *The Graduate and Professional Student Resource Guide* during new student orientation.

For off-campus living http://www.business-services.upenn.edu/offcampusservices/

For the Graduate Center: <a href="http://www.gsc.upenn.edu/">http://www.gsc.upenn.edu/</a>

For student health services: http://www.vpul.upenn.edu/shs/

## **APPENDICES**

## APPENDIX A. PhD RECIPIENTS 1957 TO PRESENT

| 1. June '57  | Herbert J. Gans      | Recreation Planning for Leisure Behavior: A<br>Goal-Oriented Approach                                                                                                           |
|--------------|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2. Feb '59   | Edgar M. Horwood     | City Center Goods Movement: An Aspect of Congestion                                                                                                                             |
| 3. Feb '61   | William Nash         | A Survey of Housing Rehabilitation Practices and Their Implications for Urban Renewal                                                                                           |
| 4. May '62   | Willard Hansen       | Residential Extension in a Metropolitan Region:<br>A Regression Analysis of Subregional<br>Development Rates in the Philadelphia Area<br>during the 1940-50 and 1950-56 Periods |
| 5. May '62   | Clifford C. Ham      | A Study of Building and Decision-Making in<br>Selected Urban Churches with Implications for<br>City Planning                                                                    |
| 6. Aug. '62  | Louis K. Lowenstein  | The Spatial Distribution of Residences and<br>Work Places in Urban Areas: An Empirical Study<br>with Special Relevance to the Journey-to-Work                                   |
| 7. May '63   | David Popenoe        | Costs and Benefits in Urban Renewal Decision:<br>A Study of the Theory of Rational Planning in<br>the Public Sector                                                             |
| 8. May '63   | Anthony R. Tomazinis | An Investigation on the Basic Assumptions of Urban Location Theories Concerning the Transportation Inputs of Urban Activities                                                   |
| 9. May '64   | Norman J. Johnson    | Harland Bartholomew: His Comprehensive<br>Plans and Science of Planning                                                                                                         |
| 10. Dec. '64 | Robert E. Coughlin   | Hospital Complex Analysis: An Approach for<br>Planning A Metropolitan System of Service<br>Facilities                                                                           |
| 11. Dec. '64 | Ralph A. Gakenheimer | Determinants of Physical Structure in the Peruvian Town of the Sixteenth Century                                                                                                |
| 12. Dec. '64 | John Herbert         | A Procedure for the Articulation of Complex<br>Development Programming Problems                                                                                                 |
| 13. Aug. '65 | Naman Jalili         | Urban-Rural Development Policies for Iran                                                                                                                                       |
| 14. Aug. '65 | Nohad Toulan         | Public and Private Costs of Open Space<br>Preservation                                                                                                                          |

| 15. Dec. '65                                                 | John Page                                                               | The Development of the Notion of Planning in the United States, 1893-1965                                                                                                                                                                                                                                                                                                                                                                              |
|--------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 16. May '66                                                  | Morris Hill                                                             | A Method for Evaluating Alternative Plans: The<br>Goals Achievement Matrix Applied to<br>Transportation Plans                                                                                                                                                                                                                                                                                                                                          |
| 17. Dec. '66                                                 | Donald A. Krueckeberg                                                   | Toward Optimizing Procedures in Urban Land Use Classification                                                                                                                                                                                                                                                                                                                                                                                          |
| 18. Dec. '66                                                 | Paul L. Niebanck                                                        | The Relocation of Elderly Persons                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 19. Dec. '66                                                 | Michael A. Stegman                                                      | An Analysis and Evaluation of Urban Residential Models and Their Potential Role in City Planning                                                                                                                                                                                                                                                                                                                                                       |
| 20. May '67                                                  | Grace S. Milgram                                                        | The City Expands: A Study of Conversion from Rural to Urban Use, Philadelphia, 1945-1962                                                                                                                                                                                                                                                                                                                                                               |
| 21. Aug. '67                                                 | Iskandar Gabbour                                                        | Travel Cost Variations and The Size of Urban<br>Areas: An Investigation Concerning Urban Land<br>Allocation Theories and Trip Distribution<br>Models                                                                                                                                                                                                                                                                                                   |
| 22. Aug. '68                                                 | Michel Chevalier                                                        | A Strategy of Interest-Based Planning                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 23. Dec. '68                                                 | Charles D. Laidlaw                                                      | Linear Programming for Projective Urban<br>Renewal Plan Evaluation                                                                                                                                                                                                                                                                                                                                                                                     |
| 24. Dec. '68                                                 | Thabet Zakaria                                                          | Tue near entetien Contents Fuel vetien                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 24. Dec. 00                                                  | Thabet Zakana                                                           | Transportation Systems Evaluation                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 25. May '70                                                  | Clifford Bragdon                                                        | The Unquiet Crisis: Community Noise and the Public Interest                                                                                                                                                                                                                                                                                                                                                                                            |
|                                                              |                                                                         | The Unquiet Crisis: Community Noise and the                                                                                                                                                                                                                                                                                                                                                                                                            |
| 25. May '70                                                  | Clifford Bragdon                                                        | The Unquiet Crisis: Community Noise and the Public Interest  The Study of Conflict in Locational Decisions: The Case of the Hudson River Expressway                                                                                                                                                                                                                                                                                                    |
| 25. May '70<br>26. Aug. '70                                  | Clifford Bragdon Shaul Amir                                             | The Unquiet Crisis: Community Noise and the Public Interest  The Study of Conflict in Locational Decisions: The Case of the Hudson River Expressway Controversy  The Household Migration Decision in Racially                                                                                                                                                                                                                                          |
| 25. May '70<br>26. Aug. '70<br>27. May '71                   | Clifford Bragdon Shaul Amir David Varady                                | The Unquiet Crisis: Community Noise and the Public Interest  The Study of Conflict in Locational Decisions: The Case of the Hudson River Expressway Controversy  The Household Migration Decision in Racially Changing Neighborhoods  The Spatial Structure of the Labor Force in                                                                                                                                                                      |
| 25. May '70 26. Aug. '70 27. May '71 28. May '71             | Clifford Bragdon  Shaul Amir  David Varady  Austin Tetteh               | The Unquiet Crisis: Community Noise and the Public Interest  The Study of Conflict in Locational Decisions: The Case of the Hudson River Expressway Controversy  The Household Migration Decision in Racially Changing Neighborhoods  The Spatial Structure of the Labor Force in Ghana  A Method for Ranking Urban Resources Priorities: Priority Choice Analysis in Resource                                                                         |
| 25. May '70 26. Aug. '70 27. May '71 28. May '71 29. May '71 | Clifford Bragdon  Shaul Amir  David Varady  Austin Tetteh  Jacob Ukeles | The Unquiet Crisis: Community Noise and the Public Interest  The Study of Conflict in Locational Decisions: The Case of the Hudson River Expressway Controversy  The Household Migration Decision in Racially Changing Neighborhoods  The Spatial Structure of the Labor Force in Ghana  A Method for Ranking Urban Resources Priorities: Priority Choice Analysis in Resource Allocation Policy-Making  School Site Selection in the Inner City - The |

| 33. Aug. '72 | Edward M. Bergman         | Economic Analysis of Exclusionary Zoning: A Case Study of Housing and Employment in Zoning Ordinances of Developing Townships                                          |
|--------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 34. Aug. '72 | Christopher Paul McDonald | The Role of Formal Symbolic Representation in Complex Policy Making Processes                                                                                          |
| 35. Aug. '72 | Mario Polese              | Interregional Migration and Regional Economic Disparity                                                                                                                |
| 36. Aug. '72 | William G. Wheaton        | Income and Urban Location: A Study of American Spatial Demand                                                                                                          |
| 37. May '73  | Joseph Berechman          | Examination of the Efficient Allocation of Urban Public Facilities                                                                                                     |
| 38. May '73  | Michael Cuthbert          | Lewis Mumford and the Culture of Cities: A<br>Historical Presentation and a Cultural Critique                                                                          |
| 39. May '73  | John J. McKenzie          | The Impact of Differential Patterns of<br>Participation in Local Churches on<br>Organizational Effectiveness, Planning and<br>Innovation                               |
| 40. May '73  | John Edward Seley         | Conflict and Cooperation in Urban Locational Decisions                                                                                                                 |
| 41. Aug. '73 | Louis Rosenburg           | New Perspective on Housing Need: A Case<br>Study of the Low-Income Housing Problem in<br>Baltimore, MD                                                                 |
| 42. Dec. '73 | Leonard Heumann           | The Definition and Analysis of Stable<br>Integration: The Case of West Mt. Airy,<br>Philadelphia                                                                       |
| 43. Dec. '73 | Joan Hock                 | The Specification of Criteria for Residuals<br>Management Decisions: The Implementation<br>of a Charge on Sulfur Oxide Emissions                                       |
| 44. May '74  | Taner Oc                  | Assimilation of Displaced Rural Migrants in Istanbul and in Samsun, and the Role of Mass Media in this Process                                                         |
| 45. May '74  | Bruce Frankel             | The Relationship between Economic Inequality and Immobility as a Social Indicator: The Process by which Education Intergenerationally Transfers Economic Opportunities |
| 46. Dec. '74 | Allan M. Shoff            | The Impact of Citizen Participation on Community Mental Health Planning                                                                                                |

| 47. May '75  | Marc Los                   | Simultaneous Optimization of Land Use and<br>Transportation in New Town Design: A<br>Combinatorial Programming Approach                                      |
|--------------|----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 48. May '75  | Dimitrios Dendrinos        | A Dynamic General Market Equilibrium Model of Urban Form                                                                                                     |
| 49. Aug. '75 | Aleksandros Anas           | Spatial Growth and Dynamics in the Urban<br>Housing Market                                                                                                   |
| 50. Aug. '75 | Eric Roberto Weiss-Altaner | Population Pressure and Labor Absorption in Chilean Agriculture 1910-1960                                                                                    |
| 51. Aug. '75 | Kenneth Paul Ballard       | An Area-Interactive Econometric Forecasting Model                                                                                                            |
| 52. Aug. '75 | Konrad Kasian Kalba        | A Case Study in Technological Innovation and Community Decision-Making                                                                                       |
| 53. Dec. '75 | Edward Balassanian         | Planning Social Leadership: A Conceptual Study on Le Corbusier                                                                                               |
| 54. Dec. '75 | Bernard S. Bloom           | Planning for Surgeon Manpower                                                                                                                                |
| 55. Dec. '75 | Lewis Dean Hopkins         | Optimum-Seeking Models for Design of<br>Suburban Land Use Plan                                                                                               |
| 56. Dec. '75 | Andrew Mark Isserman       | Suburban Land Development and Local Public Finances                                                                                                          |
| 57. Dec. '75 | Richard Tseng-Yu Lai       | Urban Design in Law and Society                                                                                                                              |
| 58. May '76  | Jimmy Michael Cobb         | Toward a Behavioral Basis for Urban Planning<br>and Design: Inquiry into Decision Processes<br>and the Psychosocial Costs of Planned<br>Environmental Change |
| 59. May '76  | Godwin Odumah              | The Spatial Structure of Development in a Contemporary Developing Country: Nigeria                                                                           |
| 60. May '76  | Kenneth Bowman Platt       | The Liberal Planning Paradigm as a Strategy of Social Reform: A Radical Critique                                                                             |
| 61. Aug. '76 | Ahmet Acar                 | External Economies, Concentration, and the Changing Location of Manufacturing Industry: A Case Study of the Minneapolis - St. Paul Metropolitan Area         |
| 62. Aug. '76 | Erhan Gencer               | A Critical Analysis of the Dynamics and the Structure of the Contemporary Metropolis                                                                         |
| 63. Dec. '76 | Philip Clayton             | An Exploratory Study of Decision-Making in Two Metropolitan Councils                                                                                         |

| 64. Dec. '76 | William A. Cozzens      | The Development and Implementation of HUD's Project Selection Criteria - The Impact of Administrative Structure and Behavior on Federal Urban Policy |
|--------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| 65. Dec. '76 | Arnold A. Goldstein     | Planning and Politics in the Suburbs: A Case<br>Study of a Fair Share Housing Plan in Delaware<br>County, PA                                         |
| 66. Dec. '77 | Won Yong Kwon           | Innovation Diffusion Within Systems of Cities:<br>An Approach in Relation to the Growth Pole<br>Theory                                               |
| 67. May '78  | Frederick W. Ducca, Jr. | Retail and Service Employment Location:<br>Simulation, Analysis and Projection                                                                       |
| 68. May '78  | Sandra Green Featherman | A Social Choice Model of Urban Governance                                                                                                            |
| 69. May '78  | Louis H. Muench         | The Private Burden of Urban Social Overhead:<br>A Study of the Informal Low-Income Housing<br>Market of Kampala, Uganda                              |
| 70. Aug. '78 | Norman B. Bliss         | A Framework for Comparing Planning Models<br>Involving Discrete Classifications                                                                      |
| 71. Aug. '78 | Mary F. Cotton          | On the Assessment of Excessive<br>Hospitalization: A Study of a Medicaid<br>Population                                                               |
| 72. Dec. '78 | Marion B. Fox           | Time Allocation in Planning and Policy Making for Working Women and Their Households: A Social Indicator Study                                       |
| 73. Dec. '78 | Harvey A. Goldstein     | Labor and Housing Market Segmentation and Planning                                                                                                   |
| 74. Dec. '78 | Sue S. Moyerman         | Curriculum Planning and Curriculum Relevance in the Health Care Administration Programs                                                              |
| 75. Dec. '78 | Yukio Oguri             | A Metropolitan Residential Relocation Model<br>for the Evaluation of Housing Policies of the<br>Tokyo Region                                         |
| 76. May '79  | Meir Gross              | The Impact of Transportation and Land Use Policies on Urban Air Quality                                                                              |
| 77. May '79  | Michael Harvey Zisser   | The Design of a Public Policy: National Land<br>Use Planning and Organizational Theory                                                               |
| 78. Aug. '79 | Emmanuel K.A. Tamakloe  | Social Welfare and Equity Considerations in Regional Transportation Investment Policies                                                              |

| 79. Aug. '79 | Robert H. Wilson    | The Political Economy of Regional<br>Development and Urbanization: The Case of<br>Brazil's Northeast                                                                 |
|--------------|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 80. May '80  | Morton B. Gulak     | The Effect of Political Structure on<br>Environmental Design Character                                                                                               |
| 81. May '80  | EsraFill Kasraie    | Analysis of Socio-Economic Interactions in India and Iran, with Reference to National, Rural and Urban Manpower Policies                                             |
| 82. May '80  | Boris Odynocki      | Planning the National Health Insurance Policy                                                                                                                        |
| 83. Dec. '80 | Cheryl A. Lieberman | Developing a Concept of Fit: A Case Study in Staff Training                                                                                                          |
| 84. Dec. '80 | Hubert Nyame-Mensah | The Linkage Order and Importance Concept:<br>An Alternative Approach to the Evaluation of<br>Regional Transportation Investment                                      |
| 85. May '81  | Ariela Nesher       | Analysis of the Effects of Public Service<br>Provision on the Quality of Life                                                                                        |
| 86. May '81  | Kofi Obeng          | Pricing, Subsidy and Efficiency Issues in Urban Public Transportation                                                                                                |
| 87. Aug. '81 | Grit Permtanjit     | Political Economy of Dependent Capitalist<br>Development: Study on the Limits of the<br>Capacity of the State to Rationalize in Thailand                             |
| 88. Dec. '81 | Chao-I Hsieh        | The Development of a Disaggregated Location Model in Taiwan: Taipei and Kaushiung                                                                                    |
| 89. Dec. '81 | Arie Nesher         | Socio-Cultural Factors in Israeli Public Housing<br>Design                                                                                                           |
| 90. Dec. '81 | Ruth A. Ruttenberg  | The Incorporation of Prospective Technological<br>Changes Into Regulatory Analysis Which Is<br>Used in the Planning of Occupational Safety<br>and Health Regulations |
| 91. May '82  | Yoon-Sang Kim       | Topics on Calibration of Spatial Interaction<br>Models                                                                                                               |
| 92. Dec. '82 | Eshetu Abebe        | Multiobjective River Basin Planning                                                                                                                                  |
| 93. Dec. '82 | Linda Katz Hartman  | The Way City Councilmembers Obtain<br>Information                                                                                                                    |
| 94. Dec. '82 | Hanlin Li           | The Use of Hierarchical Multi-objective<br>Programming in Urban Transportation<br>Network Design                                                                     |

| 95. Dec. '82  | Rafael Martinez-Monefeldt   | Linear Programming Models for the Selection<br>of Optimal Transit Route Structure for a<br>Metropolitan Area                                        |
|---------------|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| 96. Dec. '82  | Brian J. McLean             | An Interaction Model for Managing<br>Intraplanning Conflict: Based on a Study of the<br>Conflict Between Transportation and Air<br>Quality Planning |
| 97. Dec. '82  | Sohiela Noorbakhsh-Khiabani | Adapting Research Methods to Cultural<br>Settings: A Study of the Application of Nominal<br>Group Technique to Iran                                 |
| 98. Dec. '82  | Thierry J. Noyelle          | Advanced Services and U.S. Cities: The Making of a New Urban Hierarchy                                                                              |
| 99. Dec. '82  | Taro Ochiai                 | The Energy Costs of Hierarchical Urban Form:<br>Transportation and Facility Operations                                                              |
| 100. May '83  | Coker Adegboro              | The Process of Implementation in a Nigerian<br>Bureaucracy: The Case of the Niger River Basin<br>Development Authority                              |
| 101. May '83  | Samuel B. Agbola            | The House Building Industry in Osogbo, Nigeria:<br>A Study in the Transformation of the Industry<br>and the Process of Development                  |
| 102. May '83  | Alireza Banai-Kashani       | Multidimensional Scaling in Simulation of<br>Location: An Analytic Hierarchy Systems<br>Approach                                                    |
| 103. May '83  | Shu-Li Huang                | Integrating Scientific and Institutional Aspects for Water Resource Management: A Case Study of Brandywine Basin                                    |
| 104. May '83  | Jon T. Norstog              | Democratic Theory, Ethnographic Methods<br>and Environmental Planning: A Methodology<br>for Participation                                           |
| 105. May '83  | Michael O. Ogar             | Urban Transportation and Public Policy in<br>Developing Countries: Towards Improving<br>Mobility and Accessibility                                  |
| 106. Aug. '83 | Patricia L. Gerrity         | By Ourselves: An Ethnographic Study of Self-<br>Care in an Elderly Jewish Population                                                                |
| 107. Aug. '83 | Isaac F. Megbolugbe         | A Hedonic Index-Based Model of Housing<br>Demand for Third World Cities                                                                             |
| 108. Aug. '83 | Ava S. Nelson               | The Development of Coal in Appalachian Pennsylvania                                                                                                 |
| 109. Aug. '83 | Ethan P. Seltzer            | Citizen Participation in Environmental<br>Planning: Context and Consequence                                                                         |

| 110. Dec. '83 | Deborah S. Kitz           | The Impact of Short Procedure Units on the Locus, Volume and Complexity of All Surgical Procedures: Pennsylvania 1974-1982     |
|---------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| 111. Dec. '83 | Wayt T. Watterson         | Economic Analysis of Residential Choice                                                                                        |
| 112. May '84  | Khalid Benabdeljalil      | Internal Migration in Morocco: Examination of Structural Determinants                                                          |
| 113. May '84  | William W. Budd           | A Systems Approach to Acid Deposition:<br>Science, Institutions and Policy                                                     |
| 114. May '84  | Karen A. Buhler-Wilkerson | False Dawn: The Rise and Decline of Public<br>Health Nursing, 1900-1930                                                        |
| 115. May '84  | Lawrence W. Dolan         | Revitalizing Neighborhoods: Toward a Theory of Program Design                                                                  |
| 116. May '84  | Jamie J. Greene           | An Evaluation of Dental Hygiene Education in Israel: Health Planning and Policy Implications                                   |
| 117. May '84  | Judith A. Kates           | The Design of Comprehensible Systems: A<br>Case of Hospital Merger in Northeastern<br>Pennsylvania                             |
| 118. May '85  | Nemat Bahmani             | The Web of Implementation: Iran's Experience with the Implementation of the Khuzestan Regional Development Program             |
| 119. May '85  | Jonathan Berger           | Environmental Ethnography for Landscape<br>Planning                                                                            |
| 120. May '85  | William Guthrie Hengst    | Town Builders and Their Hosts: The<br>Relationship Between Developers and<br>Government in the Development Approval<br>Process |
| 121. Aug. '85 | Kwabena Owusu-Banahene    | The Estimates and Distributional Effects of<br>Benefits of Public Housing Programs in Kumasi<br>City, Ghana                    |
| 122. Aug. '85 | Chaisak Suwansirikul      | Equilibrium Decomposed Optimization: A<br>Heuristic for the Continuous Equilibrium<br>Network Design Problem                   |
| 123. Aug. '85 | Norimichi Toyomane        | A Study on the Multiregional Input-Output<br>Model: Foundations for an Interregional<br>Simulation of Indonesia                |
| 124. Dec. '85 | Manoochehr Toshtzar       | The Growth and Development of the Iranian<br>Urban System: The Period 1900-1976                                                |
| 125. May '86  | John O. Browder           | Logging the Rainforest: A Political Economy of<br>Timber Extraction and Unequal Exchange in the<br>Brazilian Amazon            |

| 126. May '86  | Carla B. Dickstein | The Role of Support Organizations in Worker<br>Cooperative Systems: A Comparative Case<br>Study                                         |
|---------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| 127. Aug. '86 | Masayuki Doi       | Multimodal Urban Transportation Pricing<br>Theory                                                                                       |
| 128. Dec. '86 | Chin-Oh Chang      | Explanation and Forecasting of National Housing Investment: A Comparative Study in Taiwan (R.O.C.), Korea (South), Japan and the U.S.A. |
| 129. Dec. '86 | Suk Hi Chung       | The Effects of Spatial System Design Criteria on<br>Description of Underlying Data                                                      |
| 130. Dec. '86 | Zeev Keidar        | Managing by Incentives: The Case of<br>Integrated Rural Development Projects in Less<br>Developed Countries                             |
| 131. Dec. '86 | Frederick Steiner  | Soil Conservation: Politics, Policy and Planning                                                                                        |
| 132. May '87  | Donald R. Ellerman | Predicting Local Responses to Federal<br>Incentives for Competitively Contracting<br>Transit Service                                    |
| 133. May '87  | Hugh Miller        | Urban Modeling Systems: Dynamic Properties and Equilibrium Tendencies                                                                   |
| 134. May '87  | Byungho Park       | Analysis of Regional Growth: A Study of Efficiency/Equality Relations                                                                   |
| 135. May '87  | Nancy K. Worley    | A Study of Relations between Community<br>Mental Health Centers and Public Mental<br>Hospitals in Pennsylvania                          |
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|---------------|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|---------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
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|---------------|-------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|---------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|----------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
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|---------------|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|---------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
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|-----------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|-----------------|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 315. May '14    | Stuart Andreason    | Will Talent Attraction and Retention<br>Improve Metropolitan Labor<br>Markets? The Labor Market Impact of<br>Increased Educational Attainment in U.S.<br>Metropolitan Regions 1990-2010 |
| 316. May '14    | Catherine Brinkley  | Fringe Benefits                                                                                                                                                                         |
| 317. May '14    | Seung Ah Byun       | A Comparative Evaluation of State Policies<br>and Programs for NonPoint Source<br>Pollution Control in the Chesapeake Bay<br>Watershed                                                  |
| 318. May '14    | Amy Lynch           | Is it Good to be Green?: An Assessment of County Green Infrastructure Planning in Colorado, Florida, and Maryland                                                                       |
| 319. August '14 | Mengke Chen         | Impacts of French high-speed rail investment on urban agglomeration economies                                                                                                           |
| 320. May '15    | Benjamin Chrisinger | If You Build It, Will They Come, and What Will They Eat? Investigating Supermarket Development in Food Deserts                                                                          |
| 321. May '15    | Meagan Ehlenz       | Anchoring Communities: The Impact of University Interventions on Neighborhood Revitalization.                                                                                           |
| 322. May '15    | Theodore Eisenman   | Making Meaning of Urban Greening in the Anthropocene                                                                                                                                    |
| 323. May '15    | Kristen Kinzer      | Implementation by Committee: A mixed methods study of leveraging public engagement to support community sustainability plan implementation                                              |
| 324. May '15    | John Robinson       | Amenities, Walkability, and Neighborhood Stability: A Mixed Methods Analysis                                                                                                            |
| 325. May '15    | Ralph Rosado        | What will the Neighbors Say? How<br>Differences in Planning Culture Yield<br>Distinctive Outcomes in Urban<br>Redevelopment: The Example of the<br>Community Benefits Agreement Trend   |
| 326. May '15    | Yu-Shou Su          | Rebuild, Retreat, or Resilience: Can Taipei<br>Plan for Resilience?                                                                                                                     |

| 327. August '15   | Kenneth Steif       | Toward School Improvement Districts:<br>School Quality & The Equitable<br>Revitalization of Neighborhoods                                                                                   |
|-------------------|---------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 328. December '15 | Albert Tonghoon Han | Evaluating the Performance of the Greenbelt Policy for Present and Future Urban Growth Management and Environmental Protection - A Case Study in the Seoul Metropolitan Area of South Korea |
| 329. May '16      | Mary F.Rocco        | Partnership, Philanthropy and Innovation:<br>21st Century Revitalization in US Legacy<br>Cities                                                                                             |
| 330. May '16      | Janet Weiner        | No-Trespass Policies in Public Housing                                                                                                                                                      |
| 331. August'16    | Caroline Cheong     | Urban Conservation and Regeneration in<br>the 21 <sup>st</sup> Century: An Assessment of the<br>Historic Centers of Quito, Ibarra, Loja and<br>Cuenca, Ecuador                              |
| 332.August '16    | Amber Woodburn      | Pushback in the Jet Age: Investigating<br>Neighborhood Change, Environmental<br>Justice, and Planning Process in Airport-<br>Adjacent Communities                                           |
| 333. August '16   | Simon Mosbah        | Airports, Airport Expansions and<br>Employment at Local and Regional Scale                                                                                                                  |

# **APPENDIX B.** Graduate Group Directory

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| Susan M. Wachter        | Richard B. Worley Professor of Financial<br>Management; Professor of Real Estate and<br>Finance (Wharton and PennDesign) | wachter@wharton.upenn.edu   |
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|                         |                                                                                                                          |                             |

#### **APPENDIX C. Select Bibliography**

This select bibliography will familiarize you with the research interests of our Graduate Group Faculty. It includes books and key articles that they have written but it is by no means comprehensive.

Stefan Al

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Connecting People with Places: Balancing Mobility and Place-making (2017). Co-authored with Robert Cervero, and Erick Guerra. Forthcoming: under contract to Island Press.

*Macau and the Casino Complex (2017).* Editor and author of chapter 1 (Introduction). *Forthcoming:* under contract to University of Nevada Press.

Mall City: Hong Kong's Dream Worlds of Consumption. (2016) University of Hawaii Press; Hong Kong University Press. Editor and author of chapter 1 (Introduction).

Francesca Ammon

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"Post-Industrialization and the City of Consumption: Attempted Revitalization in Asbury Park, New Jersey," *Journal of Urban History* 41:2 (March 2015): 158-174.

Eugénie L. Birch

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Charles Branas

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Ram A. Cnaan

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Thomas L. Daniels

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Laura Perna

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Vincent Reina

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Megan Ryerson

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Lisa Servon The Unbanking of America: How the New Middle Class Survives, New

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Susan M. Wachter

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#### Richard Weller

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#### Robert D. Yaro

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#### APPENDIX D.

### **Current PhD Candidates/Students**

| Name                       | Status    | <b>Contact Information</b>       |
|----------------------------|-----------|----------------------------------|
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| Laurent Corroyer           | Student   | http://corroyer@design.upenn.edu |
| Maryam Khojasteh           | Student   | http://maryamkh@design.upenn.edu |
| Sirius Libeiro             | Student   | http://slibeiro@design.upenn.edu |
| David Stanek               | Student   | http://dstanek@design.upenn.edu  |
| Patrizio Zambrano Barragan | Student   | http://pzb@design.upenn.edu      |
| Chandan Dueskar            | Student   | http://cdeuskar@design.upenn.edu |
| Chaeri Kim                 | Student   | http://chaeri@design.upenn.edu   |
| Krista Iksander            | Student   | http://krid@design.upenn.edu     |
| Xiaoxia Dong               | Student   | http://xiaoxiad@design.upenn.edu |
| Sa Min Han                 | Student   | http://hsa@design.upenn.edu      |

### **APPENDIX E. PhD Checklist**

| Task                                         | Requirement                                                                                                                                                                                                                                                                                                                                                            |
|----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Matriculation                                | Full-time until completion of degree. Total time allowable                                                                                                                                                                                                                                                                                                             |
|                                              | 10 years (five years to advance to candidacy, five years to                                                                                                                                                                                                                                                                                                            |
|                                              | complete and defend dissertation)                                                                                                                                                                                                                                                                                                                                      |
| Coursework                                   | 20 course units, to be completed in first 5 semesters, taken                                                                                                                                                                                                                                                                                                           |
|                                              | at the rate of 4 course units/semester, and including:                                                                                                                                                                                                                                                                                                                 |
| Doctoral Seminar                             | 4 course units taken in first 4 semesters                                                                                                                                                                                                                                                                                                                              |
| Research Methods                             | 2 course units                                                                                                                                                                                                                                                                                                                                                         |
| Preparatory                                  | (see below) to be completed in the first 5 semesters and                                                                                                                                                                                                                                                                                                               |
| Requirements                                 | including:                                                                                                                                                                                                                                                                                                                                                             |
| Writing and Presentation                     | 4 written assignments completed in the first 4 semesters                                                                                                                                                                                                                                                                                                               |
| Review essay                                 | First semester Doctoral Seminar                                                                                                                                                                                                                                                                                                                                        |
| First year paper                             | Second semester Doctoral Seminar                                                                                                                                                                                                                                                                                                                                       |
| Second year paper                            | Third semester Doctoral Seminar                                                                                                                                                                                                                                                                                                                                        |
| Draft dissertation                           | Fourth semester Doctoral Seminar                                                                                                                                                                                                                                                                                                                                       |
| proposal                                     |                                                                                                                                                                                                                                                                                                                                                                        |
| Scholarly Preparation                        | 4 semesters plus orientation and jury service                                                                                                                                                                                                                                                                                                                          |
| Research Assistantship                       | Two semesters                                                                                                                                                                                                                                                                                                                                                          |
| Teaching Assistantship                       | Two semesters                                                                                                                                                                                                                                                                                                                                                          |
| TA orientation                               | Attend session summer after the first year of full-time study                                                                                                                                                                                                                                                                                                          |
| Masters Juries                               | Serve on 4 masters candidates studio or workshop juries                                                                                                                                                                                                                                                                                                                |
| Qualifying Examination                       | Written examination on History and Theory of Planning to                                                                                                                                                                                                                                                                                                               |
| Qualitying Examination                       | be taken by the third semester of full-time study                                                                                                                                                                                                                                                                                                                      |
| Candidacy Examination                        | Three part written and oral examination to be                                                                                                                                                                                                                                                                                                                          |
| Candidacy Examination                        | completed by 5 <sup>th</sup> semester                                                                                                                                                                                                                                                                                                                                  |
| Two Field Examinations                       | Written examinations to be completed by the beginning of                                                                                                                                                                                                                                                                                                               |
|                                              | the 5 <sup>th</sup> semester of full-time study, taken in a 21 day period                                                                                                                                                                                                                                                                                              |
| Oral Examination                             | Oral defense of the history and theory and two field                                                                                                                                                                                                                                                                                                                   |
|                                              | examinations and discussion of draft dissertation proposal                                                                                                                                                                                                                                                                                                             |
|                                              | within 3 weeks of completing the last field examination,                                                                                                                                                                                                                                                                                                               |
| Acceptance of Dissertation                   | To be completed by the first week of October, fifth                                                                                                                                                                                                                                                                                                                    |
| Proposal                                     | semester of full-time study.                                                                                                                                                                                                                                                                                                                                           |
| A 1 0 A 2 5 3                                |                                                                                                                                                                                                                                                                                                                                                                        |
| Apply for A.M. degree                        | All students who advance to candidacy are eligible to earn                                                                                                                                                                                                                                                                                                             |
|                                              | an A.M. Apply to http://fusion.sas.upenn.edu/degree/app-                                                                                                                                                                                                                                                                                                               |
|                                              | start.php                                                                                                                                                                                                                                                                                                                                                              |
| Annual Maatings of                           | All condidates must most annually with discontation                                                                                                                                                                                                                                                                                                                    |
| Annual Meetings of                           | All candidates must meet annually with dissertation                                                                                                                                                                                                                                                                                                                    |
| Annual Meetings of<br>Dissertation Committee | committee until thesis is completed. All candidates must                                                                                                                                                                                                                                                                                                               |
|                                              | committee until thesis is completed. All candidates must submit first full draft of dissertation two months in                                                                                                                                                                                                                                                         |
|                                              | committee until thesis is completed. All candidates must<br>submit first full draft of dissertation two months in<br>advance of deposit date (advisors will comment within                                                                                                                                                                                             |
|                                              | committee until thesis is completed. All candidates must<br>submit first full draft of dissertation two months in<br>advance of deposit date (advisors will comment within<br>three weeks); submit second draft of dissertation, one                                                                                                                                   |
|                                              | committee until thesis is completed. All candidates must<br>submit first full draft of dissertation two months in<br>advance of deposit date (advisors will comment within<br>three weeks); submit second draft of dissertation, one<br>month in advance of deposit date (advisor will comment                                                                         |
|                                              | committee until thesis is completed. All candidates must<br>submit first full draft of dissertation two months in<br>advance of deposit date (advisors will comment within<br>three weeks); submit second draft of dissertation, one                                                                                                                                   |
|                                              | committee until thesis is completed. All candidates must submit first full draft of dissertation two months in advance of deposit date (advisors will comment within three weeks); submit second draft of dissertation, one month in advance of deposit date (advisor will comment within two weeks); submit third draft (if required) one                             |
| Dissertation Committee                       | committee until thesis is completed. All candidates must submit first full draft of dissertation two months in advance of deposit date (advisors will comment within three weeks); submit second draft of dissertation, one month in advance of deposit date (advisor will comment within two weeks); submit third draft (if required) one week in advance of defense. |

#### **APPENDIX F. Standard Outline for Dissertation Proposals**

You will be preparing a draft proposal in CPLN 800 Doctoral Seminar and completing the draft during the time you are preparing for your field examinations, submitting it to your dissertation committee two weeks before your oral defense. You will discuss the draft with your committee at the time of your field examination oral examination. After completing your field exam orals, you will schedule your dissertation proposal oral examination to secure final acceptance of your proposal. The dissertation oral examination should be completed by your fifth semester of full-time study, if not sooner.

#### Proposal for a PhD Dissertation in City and Regional Planning

#### A. Cover Page

- 1. Title of proposed dissertation
- 2. Name of student
- 3. Address, phone number, e-mail
- 4. Date of submission
- 5. Proposed Supervisor and committee members

#### **B.** Outline

Students should follow this outline in the preparation of draft dissertation proposals.

ABSTRACT summary of the proposal in less than 200 words.

TABLE OF CONTENTS list of sections and page numbers

INTRODUCTION explanation of the general purpose of the research, providing a

context for the specific research objectives, and the potential significance or the research in relation to the problem addressed.

LITERATURE REVIEW concise review of the most significant work related to the proposed

research that positions the thesis by identifying gaps in knowledge

that the dissertation will fill

STATEMENT OF PROBLEM or RESEARCH QUESTIONS statement of the problem and research questions

#### RESEARCH DESIGN

scope of work to be done and the manner in which it will be pursued:

- a) Outline of approach describing methods in sufficient detail to permit an assessment of their appropriateness in answering the research questions;
- b) Description of the kinds and sources of data; its quality, and any anticipated issues;
- c) Statement of the criteria to be applied in evaluating the research findings; and
- d) Schedule and plan of work, outlining the research and writing schedule through the first, second and third drafts and the proposed defense and deposit date.

PROPOSED TABLE OF CONTENTS

detailed chapter and section headings

**BIBLIOGRAPHY** 

list of references cited in the proposal and other major works related to the proposed research.

#### **APPENDIX G. Model Progress Report**

**TO:** Randy Mason, Genie Birch, Laura Wolf-Powers

**FROM:** Stephanie Ryberg **RE:** Annual progress report

**DATE:** May 13, 2009

#### 2008-2009 PROGRESS & ACTIVITIES

- Dissertation proposal approved (June 2008)
- Completed background research and prepared for case studies (June-August 2008)
- Conducted four case study visits (September-November 2008)
- Transcribed interviews, coded and analyzed data (December 2008-present)
- Drafted three of four case study chapters (Cleveland, Providence, Seattle) (February-April 2008)
- Presented at the International Planning History Society conference (July 2008)
- Teaching Assistant for CPLN 540 (Fall 2008)

#### IMMEDIATE "NEXT STEPS" AND ON-GOING WORK

- Submit article to JPER workshop (scan of preservation-based community development) (due 5/20/09)
- Draft Houston chapter (by end of May)
- Working with Randy on the Philadelphia Preservation Plan (through July 2009)

#### **PLAN FOR 2009-2010 (BY MONTH)**

| June 2009:  | Dissertation boot camp (Revise 6-9), Draft 2, 3                |
|-------------|----------------------------------------------------------------|
| July 2009:  | Draft 1, 10; Paper to JPH                                      |
| Aug. 2009:  | Draft 11; Complete 4, 5; JPER workshop                         |
| Sept. 2009: | Revise, HP studio, Conference presentations                    |
| Oct. 2009:  | Revise, ACSP, SACRPH, HP studio                                |
| Nov. 2009:  | Revise, Job applications, HP studio                            |
| Dec. 2009:  | Revise, Job applications, HP studio, Job talk, Possibly defend |
| Jan. 2010:  | Defend/revise, Job talk, Interviews, RA                        |
| Feb. 2010:  | Interviews, RA, Publications, Conference abstracts             |
| Mar. 2010:  | Interviews, RA, Publications                                   |
| Apr. 2010:  | RA, Publications                                               |
| May 2010:   | Graduate                                                       |
|             |                                                                |

#### DISSERTATION TABLE OF CONTENTS & STATUS

| احتورو | MATION TABLE OF CONTENTS & STATUS                                           |                |
|--------|-----------------------------------------------------------------------------|----------------|
| 1.     | Introduction                                                                | Not completed  |
| 2.     | Approach and methods                                                        | Not completed  |
|        | a. Section 1: History of Planning & Preservation in Urban Neighborhoods     |                |
| 3.     | Neighborhood and Community in City Planning and Preservation                | Partial draft  |
| 4.     | CBO's Use of Historic Preservation in the United States: Survey and History | Partial draft  |
| 5.     | Preservation-Based Community Development in Manchester & Mt. Auburn         | Draft, need to |
|        | revise                                                                      |                |
|        | a. Section 2: Analysis of Contemporary Cases                                |                |
| 6.     | Avenue Community Development Corporation: Houston, Texas                    | Not completed  |
| 7.     | Famicos Foundation: Cleveland, Ohio                                         | Draft, RM      |
|        | comments                                                                    |                |
| 8.     | Greater Elmwood Neighborhood Services: Providence, Rhode Island             | Draft, RM      |
|        | comments                                                                    |                |
| 9.     | SCIDPDA: Seattle, Washington                                                | Draft, RM      |
|        | comments                                                                    |                |
| 10.    | Findings                                                                    | Not completed  |
| 11.    | Conclusions Lessons Learned & Recommendations                               | Not completed  |

## **APPENDIX H. Penn-in-Touch**

| Requirements for DOCTOR OF                                                                                                                                                                           | РНЦ     | ОЅОРНУ                               | ou  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|--------------------------------------|-----|
| Onot filled ●approved ●appr by override ●appr by petition △cond appr △planned by override ■waived +fulfills multiple reqs **NOT approved ○grade requirements not met ? planned but not taken in term |         |                                      |     |
| Ph.D. Degree Requirements 2                                                                                                                                                                          | City    | y Planning 🔮                         |     |
|                                                                                                                                                                                                      | Re      | quired Courses                       |     |
| University Requirements                                                                                                                                                                              |         |                                      | -   |
| Qualifications Evaluation                                                                                                                                                                            |         | ctoral Seminar - 4 c.u.              |     |
| Candidacy Examination                                                                                                                                                                                | 1000    | CPLN 772                             |     |
| Dissertation Defense                                                                                                                                                                                 | 0       | CPLN 772                             |     |
|                                                                                                                                                                                                      | ŏ       | CPLN 772                             |     |
|                                                                                                                                                                                                      | O       | CPLN 772                             |     |
| Other Examinations                                                                                                                                                                                   | Б.      |                                      |     |
| Defense of Proposal                                                                                                                                                                                  | 10000   | search Methods - 2 c.u.              |     |
| Master's Final Exam                                                                                                                                                                                  | 100     | Research Methods #1                  |     |
| Languago Poquiroment                                                                                                                                                                                 | 0       | Research Methods #2                  |     |
| Language Requirement                                                                                                                                                                                 |         |                                      | -11 |
| Language 1<br>Language 2                                                                                                                                                                             | Dro     | epatory Requirements                 |     |
| Language 2                                                                                                                                                                                           | FIE     |                                      |     |
| Teaching Requirement                                                                                                                                                                                 | \//r    | iting and Presentation               | 56  |
| Teaching Requirement 1                                                                                                                                                                               |         | Review Essay                         |     |
| Teaching Requirement 2                                                                                                                                                                               |         | First Year Paper                     |     |
| Requirement Waived                                                                                                                                                                                   |         | Second year Paper                    |     |
| Requirement Exception                                                                                                                                                                                | 100     | Draft Dissertation Proposal          |     |
| Without September Medicals Inter-September September                                                                                                                                                 |         | Dian Dissuction Tropesar             |     |
| Dissertation Progress Report                                                                                                                                                                         | Sch     | holarly Preparation                  |     |
| For each year after the Candidacy Examination                                                                                                                                                        | 0       | 50 OK OK OK                          |     |
| O Year 1                                                                                                                                                                                             | Ō       | Teaching Assistantship               |     |
| O Year 2                                                                                                                                                                                             | 0       | TA/RA                                |     |
| O Year 3                                                                                                                                                                                             | 0       | TA/RA                                |     |
| O Year 4                                                                                                                                                                                             | 0       | TA/RA                                |     |
| O Year 5                                                                                                                                                                                             | 0       | TA/RA                                |     |
| O Year 6                                                                                                                                                                                             | 0       | Jury Duty                            |     |
| O Year 7                                                                                                                                                                                             |         |                                      |     |
| O Year 8                                                                                                                                                                                             |         |                                      |     |
|                                                                                                                                                                                                      | Fie     | ld Examinations                      |     |
|                                                                                                                                                                                                      |         | Written Field Exam                   |     |
| Certification - Ph.D. Requirements                                                                                                                                                                   |         | Oral Field Examination               |     |
| Form 152 Received in the Graduate Division                                                                                                                                                           |         |                                      |     |
| O Committee Approval of Dissertation                                                                                                                                                                 | Ce      | rtification                          |     |
|                                                                                                                                                                                                      | $\circ$ | Graduate Group Requirements Complete | :   |
| Graduate Group Requirements Completed                                                                                                                                                                |         |                                      |     |
| O University Requirements Completed                                                                                                                                                                  |         |                                      | -   |
|                                                                                                                                                                                                      |         |                                      |     |
| O CC C D IM I I D :                                                                                                                                                                                  |         |                                      |     |
| Certification - Research Master's Requirements                                                                                                                                                       |         |                                      |     |
| Form 151 Received in the Graduate Division                                                                                                                                                           |         |                                      |     |
| Research Requirement - Paper/Project                                                                                                                                                                 |         |                                      |     |
| O Thesis                                                                                                                                                                                             |         |                                      |     |
| 0 0 1 1 0 0 0                                                                                                                                                                                        |         |                                      |     |
| O Graduate Group Requirements Completed                                                                                                                                                              |         |                                      |     |
| O University Requirements Completed                                                                                                                                                                  |         |                                      |     |

### **APPENDIX I. Forms**

| Form 1a | Request for Approval of Transfer Credit                              |
|---------|----------------------------------------------------------------------|
| Form 1b | Research Methods Requirement                                         |
| Form 2  | Writing Requirement: Review Essay                                    |
| Form 2a | Writing Requirement: First-Year Paper                                |
| Form 2b | Writing Requirement: Second-Year Paper                               |
| Form 2c | Writing Requirement: Draft Dissertation Proposal                     |
| Form 3a | Research Assistant Requirement                                       |
| Form 3b | Teaching Assistant Requirement                                       |
| Form 3c | Graduate Council Orientation Program for TAs Requirement             |
| Form 3d | Jury Service                                                         |
| Form 4  | Qualifying/Candidacy Examination Schedule                            |
| Form 5  | Candidacy Examination Report                                         |
| Form 6  | Defense of Dissertation Proposal                                     |
| Form 7  | A.M. Degree Certification                                            |
| Form 8  | Certification of Completion of Coursework and Passing of Candidacy   |
|         | Examination (University of Pennsylvania form #150)                   |
| Form 9  | Annual Dissertation Progress Report                                  |
| Form 10 | Acceptance of Dissertation (University of Pennsylvania Form #152)    |
| Form 11 | Colloquium                                                           |
| Form 12 | Certification of Dissertation (University of Pennsylvania Form #153) |
|         |                                                                      |

## REQUEST FOR TRANSFER OF CREDIT

All students must successfully complete (with a grade of B or better) 20 course units of classes, four of which are the Doctoral Seminar, two in research methods. Up to four course units of transfer credit may be applied in partial completion of this requirement. Attach syllabi and transcript for courses.

| Name                                                                                              |                                        |
|---------------------------------------------------------------------------------------------------|----------------------------------------|
| Courses for which advanced standing is sought (include cinstitution, credits received and grade). | course number, name, level, date taken |
| 1                                                                                                 |                                        |
| Provide a rationale for each course for which advanced stand                                      | ling is sought:                        |
| Courses approved for advanced standing:  1 2 3 4                                                  |                                        |
| Eugénie L. Birch, Graduate Group Chair                                                            | Date                                   |

#### RESEARCH METHODS REQUIREMENT

All students must successfully complete (with a grade of B or better) two (2) graduate-level methods courses appropriate for the student's proposed field of research. These courses must be approved by the GGC and should correspond to the student's intended "disciplinary" examination area (see below).

| 1. (Student's name)                    |                                       | took a waiver examination and received permission to waive ( ) one course <u>or</u> ( ) two courses of the research method requirement. |                                                         |  |  |
|----------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|--|
| on(date)                               |                                       |                                                                                                                                         |                                                         |  |  |
| Research Methods Cother approved facul | · · · · · · · · · · · · · · · · · · · | (print name)                                                                                                                            | (date)                                                  |  |  |
| (Student's name)                       |                                       | _                                                                                                                                       | in the following research  nt. The student's plan meets |  |  |
| Course Numbers and                     | Titles:                               |                                                                                                                                         |                                                         |  |  |
|                                        |                                       |                                                                                                                                         |                                                         |  |  |
| <br>Fugénie I., Rirch, Gr              | raduate Group Chair                   | · Date                                                                                                                                  |                                                         |  |  |

# WRITING REQUIREMENT: REVIEW ESSAY

The PhD . writing requirement comprises four elements:

- 1) Review Essay in area of student interest, presented to Doctoral Seminar in first semester
- 2) First Year paper in the field of the student's primary interest, to be completed by end of first year.
- 3) **Second-Year paper** containing original research in an area of interest to the student, publishable quality (target); to be presented at Doctoral Seminar in the third semester. This paper might (ideally could) evolve from the First Year paper

| 4) <b>Draft Dissertation proposal</b> to be completed at the end of the fourth semester in the Doctoral Seminar.                                                                                                                                                                                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Review Essay: Student's Name:                                                                                                                                                                                                                                                                       |
| Student's Name.                                                                                                                                                                                                                                                                                     |
| Title and Summary:                                                                                                                                                                                                                                                                                  |
|                                                                                                                                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                     |
| ( ) The paper meets the Review Essay component of the writing requirement.                                                                                                                                                                                                                          |
| ( ) The paper does not meet the Review Essay component of the writing requirement.                                                                                                                                                                                                                  |
| (Comments: If the paper is satisfactory, indicate its strengths and weaknesses. If the paper is not satisfactory, indicate whether you have simply requested some revisions or have recommended more fundamental remedial action (e.g. courses in research design or writing a program of reading). |
|                                                                                                                                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                     |
| Eugénie L. Birch, Graduate Group Chair Date                                                                                                                                                                                                                                                         |

#### WRITING REQUIREMENT: FIRST YEAR PAPER

The PhD . writing requirement comprises four elements:

- 1) Review Essay in area of student interest, presented to Doctoral Seminar in first semester
- 2) First Year paper in the field of the student's primary interest, to be completed by end of first year.
- 3) **Second-Year paper** containing original research in an area of interest to the student, publishable quality (target); to be presented at Doctoral Seminar in the third semester. This paper might (ideally could) evolve from the First Year paper

| 4) Draft Dissertation proposal to be completed at the end of the fourth semester in the Doctoral Seminar.                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| First Year Paper:                                                                                                                                                                                                    |
| Student's Name:                                                                                                                                                                                                      |
| Title of Paper:                                                                                                                                                                                                      |
|                                                                                                                                                                                                                      |
|                                                                                                                                                                                                                      |
|                                                                                                                                                                                                                      |
| ( ) The paper meets the First Year paper component of the writing requirement.                                                                                                                                       |
| ( ) The paper does not meet the First Year paper component of the writing requirement.                                                                                                                               |
| (If the paper is not satisfactory, indicate whether you have simply requested some revisions or have recommended more fundamental remedial action (e.g. courses in research design or writing a program of reading). |
|                                                                                                                                                                                                                      |
|                                                                                                                                                                                                                      |
|                                                                                                                                                                                                                      |
| Eugénie L. Birch, Graduate Group Chair Date                                                                                                                                                                          |

# WRITING REQUIREMENT SECOND-YEAR PAPER

The PhD . writing requirement comprises four elements:

- 1) Review Essay in area of student interest, presented to Doctoral Seminar in first semester
- 2) First Year paper in the field of the student's primary interest, to be completed by end of first year.
- 3) **Second-Year paper** containing original research in an area of interest to the student, publishable quality (target); to be presented at Doctoral Seminar in the third semester. This paper might (ideally could) evolve from the First Year paper

| 4) Draft Dissertation proposal to be completed at the end of the                                                                               | fourth semester in the Doctoral Seminar. |
|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Second-Year Paper: Student's Name:                                                                                                             |                                          |
| Title of Paper:                                                                                                                                |                                          |
|                                                                                                                                                |                                          |
|                                                                                                                                                |                                          |
|                                                                                                                                                |                                          |
| ( ) The paper meets the Second-Year Paper component of                                                                                         | the writing requirement.                 |
| ( ) The paper does not meet the Second-Year component                                                                                          | of the writing requirement.              |
| If the paper is not satisfactory, indicate whether you have simply rerecommended more fundamental remedial action (e.g. courses in rereading). |                                          |
|                                                                                                                                                |                                          |
|                                                                                                                                                |                                          |
|                                                                                                                                                |                                          |
| Eugénie L. Birch, Graduate Group Chair D                                                                                                       | ate                                      |

# WRITING REQUIREMENT DRAFT DISSERTATION PROPOSAL

The PhD writing requirement comprises four elements:

- 1) Review Essay in area of student interest, presented to Doctoral Seminar in first semester
- 2) First Year paper in the field of the student's primary interest, to be completed by end of first year.
- 3) **Second-Year paper** containing original research in an area of interest to the student, publishable quality (target); to be presented at Doctoral Seminar in the third semester. This paper might (ideally could) evolve from the First Year paper
- 4) **Draft Dissertation proposal** to be completed at the end of the fourth semester in the Doctoral Seminar.

| Draft Dissertation Proposal:                                                                                          |
|-----------------------------------------------------------------------------------------------------------------------|
| Student's Name:                                                                                                       |
|                                                                                                                       |
| Title of Proposal:                                                                                                    |
| Comments                                                                                                              |
| Comments                                                                                                              |
|                                                                                                                       |
|                                                                                                                       |
|                                                                                                                       |
| ( ) Meets the writing requirement for a draft dissertation proposal.                                                  |
| ( ) Does not meet the writing requirement for a draft dissertation proposal. <b>Proposed Dissertation Committee</b> . |
|                                                                                                                       |
| (Supervisor)                                                                                                          |
| (Reader)                                                                                                              |
| (Reader)                                                                                                              |
|                                                                                                                       |
| Eugénie L. Birch, Graduate Group Chair Date                                                                           |

## RESEARCH ASSISTANT REQUIREMENT

PhD students are required to serve as an RA to a member of the Graduate Group for at least one (1) semesters of the four required TA and RA semesters, participate in the School of Arts and Sciences training/orientation program for TAs during their second year of study and serve on four juries within four semesters of full-time study.

|                                                             | _ served as my research assistant during |
|-------------------------------------------------------------|------------------------------------------|
| the ( )Fall / ( )Spring semester of 20,                     |                                          |
| The student undertook the following tasks:                  |                                          |
|                                                             |                                          |
|                                                             |                                          |
|                                                             |                                          |
|                                                             |                                          |
| His/her performance satisfied the research assistant requir |                                          |
| Print name:                                                 |                                          |
| Signature:Faculty member                                    | Date:                                    |

Additional Evaluative Comments:

## TEACHING ASSISTANT REQUIREMENT

PhD students are required to serve as a TA to a member of the Graduate Group for at least one (1) semester of the four required TA and RA semesters, participate in the School of Arts and Sciences training/orientation program for TAs during their second year of study and serve on six juries within six semesters of full-time study.

|                                                    | served as my teaching assistant during |
|----------------------------------------------------|----------------------------------------|
| the ( )Fall / ( )Spring semester of 20             | in my course,, course number           |
| course title                                       |                                        |
| The student undertook the following tasks:         |                                        |
|                                                    |                                        |
|                                                    |                                        |
|                                                    |                                        |
|                                                    |                                        |
| His/her performance satisfied the teaching assista | nt requirement.                        |
| Print name                                         |                                        |
| Signature (Faculty member)                         | _                                      |
| Date                                               | _                                      |

**Additional Evaluative Comments:** 

# UNIVERSITY of PENNSYLVANIA GRADUATE COUNCIL ORIENTATION PROGRAM FOR TEACHING ASSISTANTS

PhD students are required to serve as a TA to a member of the Graduate Group for at least one (1) semester of the six required TA and RA semesters AND participate in the University's Graduate Council Orientation Program for TAs after their first year of study.

|                                            | participated in the University's Graduate |
|--------------------------------------------|-------------------------------------------|
| Council Orientation Program for TAs during |                                           |
| the ( )Fall semester of 20                 |                                           |
| Eugénie L. Birch, Graduate Group Chair     | _                                         |
| Date                                       |                                           |

# JURY SERVICE REQUIREMENT

| tradia/mandrahan daning day ( NE-11 / / NC )           | served on the | jury for a  |
|--------------------------------------------------------|---------------|-------------|
| tudio/workshop during the ( )Fall / ( )Spring semes    | sier of 20,   |             |
| To be answered by the student:                         |               |             |
| The strengths and weaknesses of the presentation were: |               |             |
|                                                        |               |             |
|                                                        |               |             |
|                                                        |               |             |
|                                                        |               |             |
|                                                        | _             | _           |
|                                                        |               |             |
| rint name                                              |               |             |
|                                                        |               |             |
| Date: _                                                |               | <del></del> |

#### QUALIFYING/CANDIDACY EXAMINATION: SCHEDULE

Students are responsible for scheduling their own examinations after consultation with the Graduate Group Chair and other concerned faculty. When this form is completed, return it to the Secretary of the Graduate Group who will circulate copies to your committee. One copy of each examination should be returned to the Secretary and one to the examiner.

| Student's Name: Last four digits of SS#:                                                                                     |                  | of SS#:            |         |
|------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------|---------|
| Preliminary Requirements Satisfied: (Please see the Graduate Group Secretary to ensure that all required form in your file). |                  |                    |         |
| Research Methods                                                                                                             |                  | Writing            |         |
| Research Assistant                                                                                                           | (dates)          | Teaching Assistant | (dates) |
| GPA<br>Number of "I" grades                                                                                                  | IF ANY.          |                    |         |
| Chairman of Examining                                                                                                        | Committee        |                    |         |
|                                                                                                                              | ritten Examinati | istory and Theory  |         |
| Examiner's Signature                                                                                                         | Nan              | ne of Examiner     | Date    |
| First Field Title                                                                                                            |                  |                    |         |
|                                                                                                                              |                  | on                 |         |
| Examiner's Signature                                                                                                         |                  | Name of Examiner   | Date    |
| Second Field Title                                                                                                           |                  |                    |         |
| Dates of the W                                                                                                               | ritten Examinati |                    |         |
| Examiner's Signature                                                                                                         |                  | Name of Examiner   | <br>    |

### QUALIFYING/FIELD EXAMINATION REPORT:

Return completed form to the Secretary of the Graduate Group

| Nar      | ne of S    | tudent         |                                |                                                       |            |
|----------|------------|----------------|--------------------------------|-------------------------------------------------------|------------|
| Dat      | e of Or    | al Examina     | tion                           |                                                       |            |
| Wa       | s this th  | ne first adm   | inistration of the Candidac    | y Examination?                                        |            |
|          |            |                |                                |                                                       |            |
|          | QUALI      | FYING/FIEI     | LD EXAMINATION                 |                                                       |            |
| A stud   |            |                |                                | of the field examinations: $A+$ , $A$ , $A-$ , $B+$ , | and B. The |
|          |            |                |                                | oral portion of the examination. Any oth              |            |
| indica   | ates failu | re.            |                                |                                                       |            |
| 1.       |            |                | nd Theory                      |                                                       |            |
|          | A.         |                | Examination                    |                                                       |            |
|          | B.         | Examiner       |                                | <del></del>                                           |            |
|          |            |                |                                |                                                       |            |
|          |            | Grade          | Date                           | Signature of Examiner                                 |            |
| 2.       |            | Field Title    |                                |                                                       |            |
|          | A.         |                | Examination                    |                                                       |            |
|          | B.         | Examiner       |                                |                                                       |            |
|          |            |                |                                |                                                       |            |
|          |            | Grade          | Date                           | Signature of Examiner                                 |            |
| 3.       | Seco       | nd-Field Title |                                | <del>-</del>                                          |            |
|          | A.         | Dates of I     | Examination                    |                                                       |            |
|          | В.         | Examiner       |                                |                                                       |            |
|          |            |                |                                |                                                       |            |
|          |            | Grade          | Date                           | Signature of Examiner                                 |            |
| DF       | RAFT D     | ISSERTATIO     | ON PROPOSAL                    |                                                       |            |
| <u> </u> |            |                |                                |                                                       |            |
| Pro      | posed 7    | Title for Dis  | sertation                      |                                                       |            |
|          | -          |                |                                |                                                       |            |
|          |            |                |                                |                                                       |            |
| Dis      | sertatio   | n Superviso    | or:                            |                                                       |            |
|          |            | -              |                                |                                                       |            |
| Cor      | mments     | and Discus     | ssion Points                   |                                                       |            |
|          |            |                |                                |                                                       |            |
|          |            |                |                                |                                                       |            |
|          |            |                |                                |                                                       |            |
|          |            |                |                                | _                                                     |            |
| Date     |            |                | Signature of Dissertation Supe | ervisor                                               |            |

#### **DEFENSE OF DISSERTATION PROPOSAL**

All PhD students will have an oral examination with the Dissertation Committee to defend the proposal and receive permission to proceed with the work. Students must complete this requirement within one semester of passing their field examinations. Successful fulfillment of this step advances the student to candidacy.

| Student's Name:                     |                             |             |
|-------------------------------------|-----------------------------|-------------|
| Date of the Oral Examination:       |                             |             |
| Title of Proposal:                  |                             |             |
|                                     |                             |             |
| Dissertation Supervisor:            |                             |             |
| Readers' Signatures                 | Print Name                  | <u>Date</u> |
| 1                                   |                             |             |
| 2                                   |                             |             |
| 3                                   |                             |             |
| All the appropriate faculty members | have accepted the proposal. |             |
| Eugénie L. Birch, Graduate Group C. | hair                        | Date        |

# A.M. DEGREE CERTIFICATION

| Student's Name:                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Graduate Group:                                                                                                                                              |
| Degree Date:                                                                                                                                                 |
| <ol> <li>Total Course units completed at the University of Pennsylvania</li> <li>Advanced standing for course units granted for work completed at</li> </ol> |
| 3. Research Assistant/Teaching Assistant Requirement satisfied during the following semesters:                                                               |
| with<br>with                                                                                                                                                 |
| with                                                                                                                                                         |
| with                                                                                                                                                         |
| with                                                                                                                                                         |
| with                                                                                                                                                         |
| 4. <b>Research Methods Requirement</b> satisfied by taking the following courses:  with with                                                                 |
| 5. First Year Paper Requirement satisfied:                                                                                                                   |
| 6. Second-Year Paper Requirement satisfied:                                                                                                                  |
| 7. Date Qualifying (First Field) Examination passed:                                                                                                         |
| 8. Date Field (Second and Third) Examinations passed:                                                                                                        |
| 9. <b>Research Requirement</b> for the Master's Degree satisfied by the preparation of                                                                       |
| Dissertation Proposal on                                                                                                                                     |
| I certify that the above named student has fulfilled all of the requirements for the Master's degree.                                                        |
| Signed Date                                                                                                                                                  |
| Eugénie L. Birch, Graduate Group Chair                                                                                                                       |

# CERTIFICATION OF COMPLETION OF COURSEWORK AND PASSING OF CANDIDACY EXAMINATION

(One copy of this form, signed by the Graduate Group Chair, should be submitted to the Registrar, School of Design, 110 Meyerson Hall).

Name of Student: \_\_\_\_\_\_\_

Last four digits of SS#: \_\_\_\_\_\_

Graduate Group: City and Regional Planning

On \_\_\_\_\_\_, 20\_\_\_\_, the above student passed \_\_\_\_\_, failed \_\_\_\_\_
the Candidacy Examination.

Eugénie L. Birch, Graduate Group Chair

Date: \_\_\_\_\_\_, 20\_\_\_\_

# ANNUAL DISSERTATION PROGRESS REPORT

| Chapter Number and Working Title       |                    | Draft<br>Completed   | Draft Not<br>Completed |
|----------------------------------------|--------------------|----------------------|------------------------|
|                                        |                    |                      |                        |
|                                        |                    |                      |                        |
|                                        |                    |                      |                        |
|                                        |                    |                      |                        |
| hat is your current estimate of the so | emester and year w | hen you will complet | e your Dissertat       |
|                                        |                    | Semes                | ter                    |
|                                        | /                  |                      |                        |

# University of Pennsylvania Vice Provost of Graduate Education

#### ACCEPTANCE OF DISSERTATION

One copy of this form, signed by the Graduate Group Chair, and all members of the Dissertation committee, should be submitted to 16 College Hall/6378 (SAS Graduate Division Office), and one copy to the Registrar of the School of Design, 106 Meyerson.

| Student's Name:                    |                         | Last four digits of SS#:               |  |
|------------------------------------|-------------------------|----------------------------------------|--|
| This is to certify that the doctor | oral dissertation of th | ne student named above was accepted on |  |
|                                    | , 20                    |                                        |  |
| The title of the Dissertation is   | s:                      |                                        |  |
|                                    |                         |                                        |  |
| The Dissertation Committee         | and Vote:               |                                        |  |
| Signature, Chair                   | Print Name              | Yes or No                              |  |
| Signature, Committee Member        | Print Name              | Yes or No                              |  |
| Signature, Committee Member        | Print Name              | Yes or No                              |  |
| Signature, Committee Member        | Print Name              | Yes or No                              |  |
| Signature, Committee Member        | Print Name              | Yes or No                              |  |
| Signature, Committee Member        | Print Name              | Yes or No                              |  |
|                                    |                         | <u> </u>                               |  |
| Eugénie L. Birch, Graduate G       | roup Chair              |                                        |  |
| Date                               |                         |                                        |  |

# **COLLOQUIUM**

In the last stages of working on a dissertation, each student is required to present a public seminar presenting his or her research and its arguments, opening them to discussion. <u>The Dissertation Supervisor completes this form and sends it to the Graduate Group Chair.</u>

| Student's Name:          |      |
|--------------------------|------|
| Title of Dissertation:   |      |
|                          |      |
|                          |      |
|                          |      |
| The seminar was held on: |      |
| Comments:                |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
|                          |      |
| Signature of Supervisor  |      |
|                          | Date |

# University of Pennsylvania Vice Provost of Graduate Education

#### **CERTIFICATION OF DISSERTATION**

(One copy of this form signed by the Graduate Group Chair should be included with two copies of the PhD. dissertation deposited in 16 College Hall/6378 (SAS Graduate Division Office).

| This is to certify that the accompanying copies of the               |                                                           |
|----------------------------------------------------------------------|-----------------------------------------------------------|
| the Dissertation Committee and are in satisfactory                   | are complete and correct copies by form for microfilming. |
| Eugénie L. Birch, Graduate Group Chair                               |                                                           |
|                                                                      | Date                                                      |
| Department of City an                                                | d Regional Planning                                       |
| SUPPLEMENTAL AFFIDAV                                                 | TT TO FORM 153                                            |
| I understand that this version of my doctoral disse it are possible. | rtation is the final one and that no revisions to         |
| PhD Candidate                                                        |                                                           |
|                                                                      | Date                                                      |

### NOTES